



09/24/97

PATENT

Docket No. 1232-4046US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) :Nozomu Kitagishi

Anticipated Classification of this application:

Serial No. :Divisional of 07/865,076

Class _____ Subclass _____

Filed :HEREWITH

Prior Application

Examiner: R. Shafer

For :PLATE-LIKE POLARIZING ELEMENT, Group Art Unit:2507
 A POLARIZING CONVERSION UNIT
 PROVIDED WITH THE ELEMENT, AND A
 PROJECTOR PROVIDED WITH THE UNIT

FILING UNDER 37 C.F.R. § 1.60

ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

1. ☒ This is a request for filing a ☐ Continuation ☒ Divisional application under 37 C.F.R. § 1.60, of pending parent application Serial No. 07/865,076 of Nozomu Kitagishi (list each inventor) filed on April 8, 1992.
2. ☒ The attached papers are a true copy of the above-identified parent application as filed, including the oath or declaration originally filed (37 C.F.R. § 1.60), and no amendments referred to in the oath or declaration filed to complete the parent application introduced new matter therein.
3. ☒ The copy of the papers of the parent application as filed which are attached are as follows:
 - ☒ 53 page(s) of specification
 - ☒ 19 page(s) of claims
 - ☒ 1 page(s) of abstract
 - ☒ 14 page(s) of drawing
 - ☒ 5 page(s) of declaration and power of attorney
 - ☒ in accordance with 37 C.F.R. § 1.60(b), our records reflect that the original signed declaration showing applicant's signature was filed on April 8, 1992 in application Serial No. 07/865,076.
 - ☐ _____ page(s) of Sequence Listing
 - ☐ _____ computer disk(s) containing Sequence Listing
 - ☐ _____ computer disk containing original Sequence Listing previously submitted with application Serial No. _____, filed _____.

PRE-HEARD
 4-21-98
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- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same.
- ☐ Other _____
4. ☒ Cancel in this application original claims 1-20, 24-35 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
5. ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

CLAIMS FOR FEE CALCULATION

Number		Number Extra	Rate for Non-Small Entity		Basic Fee
					\$770.00
Total*					
Claims	3	-20	x	\$22.00	\$
Independent					
Claims	3	- 3	x	\$80.00	\$
Multiple					
		[] yes	Add'l Fee		\$260.00
Dependent					
Claim(s)		[X] no	None		----
					\$

Filing Fee Calculation \$ 770.00

6. [] A verified statement that this filing is by a small entity is attached or has been filed in the parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith \$ _____.
7. [X] The status of the parent application is as follows:
- [] A Petition For Extension of Time, and Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
- [] A copy of the Petition for Extension of Time in the copending parent application is attached.
- [X] No Petition For Extension of Time and Fee therefor are necessary in the copending parent application.

* Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. § 1.75(c).

8. ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending and has been granted a filing date, so as to make this application copending with said parent application. ATTACHED IS AN EXPRESS ABANDONMENT FOR FILING IN THE PARENT APPLICATION FILE.
9. ☐ Transfer the drawing(s) from the parent application to this application.
10. ☐ New drawings are enclosed: ☐ formal ☐ informal
11. ☒ Priority of application Serial No. 3-103317, filed on April 9, 1991 in Japan is claimed under 35 U.S.C. § 119.
- a. ☐ The certified copy is on file
☒ in the above-identified parent application.
☐ application Serial No. _____.
- b. ☐ The certified copy will follow.
- c. ☐ The certified copy is enclosed herewith.
- d. ☐ The certified English translation
☐ is enclosed
☐ is on file in application Serial No. _____.
12. ☒ Amend the specification by inserting before the first line the sentence: ✓

This is a ☐ continuation ☒ divisional of co-pending application Serial No. 07/865,076 filed April 8, 1992, now U.S. Patent 5,751,480.

13. a. ☐ With respect to the inventorship of the copending parent application from which this application claims benefit under 35 U.S.C. § 120, the inventor(s) in this application is (are) less than those named in the copending parent application and the following inventor(s) should be deleted from this application:
- _____
- A Petition requesting correction of inventorship for this application in accordance with 37 C.F.R. §§1.48 and 1.60(b) is enclosed.
- b. ☐ In view of the granting of the Petition requesting correction of inventorship in (parent) application Serial No. _____, filed _____, this application is being filed in the name of the corrected inventive entity.

14. ☒ The parent application is assigned of record to Canon Kabushiki Kaisha, recorded on April 8, 1992, Reel 6081, Frame 0840.
15. ☐ A check in the amount of \$_____ to cover the filing fee is attached.
16. ☒ Charge fee to Deposit Account No. 13-4500. Order No. 1232-4046US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
17. ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500. Order No. 1232-4046US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
18. ☒ The power of attorney in the parent application is to:
- Jerome G. Lee, (Reg. No. 16,967) et al.
- a. ☒ The power was filed in the parent application and a copy is enclosed.
- b. ☐ A new power has been executed and is attached.
- c. ☐ Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: 

David V. Rossi

Registration No. 36,659

Dated: September 24, 1997

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FORM: RULE-60.NY
Rev. 10/1/96

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No. :Divisional of 07/865,076 Examiner:R. Shafer (Prior Application)
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For :PLATE-LIKE POLARIZING ELEMENT, A POLARIZING
CONVERSION UNIT PROVIDED WITH THE ELEMENT, AND
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EXPRESS MAIL CERTIFICATE

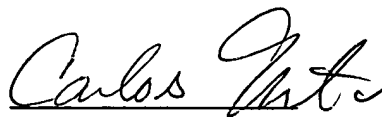
Express Mail Label No. EH227637967US

Date of Deposit September 24, 1997

Filing Under 37 C.F.R. §1.60
Application (including 53 pages of Specification, 19 pages of Claims,
1 page of Abstract, 14 pages of Drawings, 5 pages of Declaration
Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37
C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and
Trademarks, Washington, D.C. 20231.

Carlos Nieto
(Typed or printed name of person mailing paper(s))


(Signature of person mailing)

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Rev. 3/27/95